

Substance Abuse and Crime Prevention Act (SACPA) STATEWIDE ADVISORY GROUP MEETING SUMMARY

December 14, 2001

WELCOME AND DIRECTOR'S REPORT

Department of Alcohol and Drug Programs (ADP) Director Kathryn Jett opened the meeting by thanking members for the contributions that they have made in shaping the program. Director Jett then presented an update on SACPA activities:

- On November 5, a SACPA Lead Agency Implementation Meeting was held in Sacramento with 240 participants.
- A State Senate and Assembly Public Safety Committee Joint Hearing regarding SACPA was held on November 14. Some key points made were:
 1. It is "too soon to tell" what the ultimate impacts of the program will be.
 2. Many SACPA clients have serious mental health issues requiring additional attention.
 3. A great deal of collaboration occurred in the planning and early implementation.
- The University of California, San Diego is awaiting official confirmation of approval of its application to The California Endowment for support of SACPA activities.
- Senate Bill 537 dealing with counselor certification was vetoed by Governor Davis stating that ADP will address certification via its regulatory process. A work group has been established at ADP to complete this task.
- A Prevention Advisory Group has been formed by ADP. Director Jett will be sharing information from that group with the Statewide Advisory Group.
- Applications for licensing and certification have increased to 57 per month from 12 per month to since SACPA implementation began. There are now 1392 program sites compared to 1072 sites in 2000.
- The continuation of the California Treatment Outcome Pilot (CalTOP) has been reviewed by ADP. ADP has decided to continue the program for another year.

PROGRAM UPDATES FROM STATEWIDE ADVISORY GROUP

Statewide Advisory Group Members were asked to highlight positive achievements during their updates on the implementation of SACPA from their perspectives. There were numerous positive reports of the cooperation and collaboration occurring around SACPA implementation, including the high degree of cooperation between local officials and law enforcement to effectively implement the initiative. Major milestones achieved included:

- execution of county and service provider contracts
- establishment of county monitoring and information systems
- active referral of clients into the SACPA system and the provision of treatment services

There are some aspects of implementation that may need continued effort and/or are a source of concern:

1. Local ability and capacity to provide services to higher-end clients, most of whom need residential treatment in numbers greater than anticipated.
2. The need to provide the public and the media with meaningful information about the impact of the program as soon as possible.
3. Implementation of SB 223 (Burton) which provides for drug testing of SACPA clients.
4. Cost reporting requirement attached to SACPA funds.
5. Inclusion of the consumer voice in stakeholder communication.

Overall, members expressed a sense of success of the program thus far. Director Jett announced the department's plan to use Policy Forums to address some of the issues identified by group members. These Policy Forums have been used by ADP to identify and address policy issues. Stakeholders will be invited to participate. She also introduced Carol Daley, the new Chair of the Board of Prison Terms and welcomed her as a partner in collaboration.

STATE REPORT

Program and Policy Update

ADP Office of Criminal Justice Collaboration Deputy Director Del Sayles-Owen provided an update on SACPA program and policy activity since the last meeting:

- Regulations
 - Public input has resulted in improved regulations.
 - Regulations should have final approval by mid-January, 2002.
 - A section of the new regulations will strengthen the local collaboration process.
- November 5th Implementation Meeting
 - A summary of the entire meeting should be available in February 2002.
 - Counties were grouped according to size (small/rural counties, medium and large counties) and were asked to identify their top concerns, priorities, and technical assistance needs. Below are the issue areas identified during break out sessions of like-sized counties.
Issues in Common -- All Counties
 1. A shared concern regarding the shortage of treatment capacity and continuum of care for clients requiring an intensive, inpatient level of care.
 2. The difficulty of maintaining collaboration that is broad-based.
 3. Serving SACPA clients with more severe addiction and more extensive criminal history than anticipated.
 4. The need for technical assistance to strengthen client engagement, motivation, and retention.
 5. Effective procedures for handling cases that cross jurisdictions.
 6. Lack of access to Mental Health services for dually diagnosed SACPA clients
 7. The importance of ancillary services, and their role in treatment of SACPA clients.

Issues in Common -- Smaller and Rural Counties

1. The low number of client referrals does not support capacity building for a full continuum of care, even though some clients may require services beyond what the existing system can provide.
2. Regional approaches to enhance the range of treatment options and increase capacity may be vulnerable to domination by larger counties.

Issues in Common -- Medium and Larger Counties

1. Higher need clients will exhaust available funds, resulting in a funding and services gap for clients still needing treatment
2. Inability of dually diagnosed clients to qualify for Mental Health services when these clients need integrated mental and substance abuse treatment

Getting the Help We Need -- Technical Assistance and Training

1. Access to service and treatment models and Best Practices
2. Access to treatment and policy experts.
3. Development of a coordinated technical assistance program and/or services to be made available to counties.
4. Technical assistance and support on data collection and financial management requirements associated with SACPA.
5. Access to state of the art knowledge and training in the science of addiction and recovery.
6. A strategy to communicate to stakeholders and communities the effectiveness of treatment and the success of the program.
7. Direct access to senior state policy makers.

Deputy Director Sayles-Owen reported that ADP has assigned staff analysts to specific counties in an effort to increase access to policy experts. In addition, she will be visiting counties as well. She also reported that the application submitted to The California Endowment would provide for a coordinated technical assistance plan.

- "Proposition 36 -- Making it Work, 2002!" Conference will be held on March 24-27, in San Diego. A planning committee will be convened soon.
- Ten SACPA field audits have been conducted to date and one draft report has been completed. ADP is issuing clarifications around the audit process.
- Implementation of SB 223 (Burton) -- Drug Testing is moving forward. Funds must be spent by June 30, 2002 or they will revert to the federal government. More information will go out to the counties soon regarding plans.
- A list of the All County Lead Agency Letters that have been sent to the counties since October Statewide Advisory Group meeting was distributed. All of the letters are available on the ADP web site.
- An agenda is currently being developed for a first meeting with the Veterans Administration and Health Maintenance Organizations (HMOs).

STATE REPORT

Evaluation Activities Update

Larry Carr, Ph.D., of the ADP Office of Applied Research and Analysis discussed the plan to use ten focus counties for the evaluation. Dr. Carr said that the three guiding principles for the evaluation are that it be independent, rigorous, and comprehensive. He discussed the SACPA Research Forum convened on November 8 and 9 by a consortium of foundations. Attendees stressed that communication must occur between all SACPA stakeholders and that each should be heard. To that end, the University of California Los Angeles (UCLA) has set up a list-serve for questions, information and concerns regarding the SACPA evaluation. The foundations are convening a follow up meeting on January 22. He also reported that ADP has begun the review of the draft of the first-year SACPA evaluation report. Pending final review and approval, the report should be ready to be made public on March 31, 2002.

Dr. Douglas Longshore of UCLA provided a status report on the evaluation project. The research questions have been reviewed by the County Alcohol and Drug Program Administrators Association of California (CADPAAC), the Judicial Council, and by the group which met on November 8 and 9. Researchers are currently completing the Internet web site for stakeholder communication.

Focus counties are being selected first based on expressed interest and to date 24 counties have volunteered. The goal is to establish a pool of counties that provide:

- ❑ A mixture of urban and rural locations
- ❑ Geographical coverage of the state
- ❑ Good data capabilities
- ❑ The opportunity to pool findings with other studies
- ❑ Diversity of implementation strategies

Some other key activities planned include the tracking of other SACPA studies and the development of the outcome evaluation plan. There was a short discussion of the similarities and differences between the Arizona study and that being planned for California.

SUBCOMMITTEE REPORTS

Dual Diagnosis Task Force

ADP Deputy Director Jessie McQuinn provided an update on the work of the Dual Diagnosis Task Force. The Task Force was formed in March 1997 when the Department of Mental Health (DMH) and ADP agreed to fund four integrated mental health - drug treatment programs. These pilot programs have been completed and report should be released late January 2002. The Dual Diagnosis Task Force is refocusing its goals now that the pilots are done. It is working to define guidelines for dual diagnosis treatment and to develop program recommendations based on the evaluation of the pilots. Issues which the Task Force continues to review are cross-training, best use of available resources, and use of case conferencing/case management. Two members of the Dual Diagnosis Task Force, Dr. Marc Bono and Jim

O'Connell made presentations to the Advisory Group and lead a discussion regarding dual diagnosis and SACPA. They reported that guidelines for dual diagnosis treatment should be completed next year.

Director Jett commented that ADP will work through the Dual Diagnosis Task Force with the input from the Statewide Advisory Group to coordinate guidelines for counties regarding dual diagnosis. She also stated that this issue would be an area of focus at the March conference in San Diego. The plan is to review integrated models at that time. The need to define how the Statewide Advisory Group and the Dual Diagnosis Task Force will work together continues.

Cross-Jurisdictional Subcommittee

Judge Stephen Manley and Maureen Bauman presented the subcommittee report.

Four areas were covered:

- The increase in the number of cross-jurisdictional cases statewide.
- Courtesy supervision works well in certain parts of the state but not in a north to/from south situation and not in counties that absolutely do not want to be involved. Those counties where it does work should be encouraged to fully extend it to SACPA cases. Legislation is being considered by the Judicial Council's Proposition 36 workgroup. It would mandate the transfer of SACPA cases to the county of residence for supervision. Data will be critical to the success of any bill.
- Five alternatives were under consideration regarding the supervision and treatment of out-of-state residents arrested and convicted in California. The subcommittee will return to the Statewide Advisory Group with a recommendation.

Parolee Services Subcommittee

Joe Ossman of the Board of Prison Terms (BPT) and Craig Toni of the Department of Corrections Parole and Community Services Division (Parole Division) presented an update on SACPA parolees. There has been a joint BPT and Parole Division task force established to develop the structure for improvement. They are working to integrate BPT/Parole Division information on parolees as they move through SACPA treatment. They are also working on clarifying the role of parole agent in SACPA cases and reducing the time involved between parolee's arrest and placement into treatment. The Parole Division is looking at the use of parole resources and current contracts for SACPA parolees when resources are an issue.

Bruce Slavin, Undersecretary for the Youth and Adult Correctional Agency presented the Parolee Services Subcommittee report to the group. The subcommittee identified four top issues for review and presented recommendations on each:

1. Confidentiality Waiver Forms

There is a need to standardize the confidentiality waiver forms for parolees and to clarify the individual's ability to revoke the waiver of confidentiality. Subcommittee members are interested in considering the use of the draft waiver form template developed.

The Statewide Advisory Group approved the recommendation to refer the matter to the existing Confidentiality Subcommittee and place a BPT representative on that subcommittee.

2. Training of Treatment Providers

Training is needed for treatment providers, as they are not familiar with parolees' unique needs and problems. Of particular importance is the need to educate new treatment providers about parolees and the parolee process.

Suggestions for approaching training included:

- Local parole representatives should train/educate treatment providers in each county -- teach differences in parole and probation.
- Develop cross-training.
- Provide written informational materials to treatment providers regarding basic parole information and acronyms.

The Statewide Advisory Group approved ongoing work in this area.

3. Dual Diagnosis

There is a need to address mental health services for parolees. Suggestions provided included:

- Coordinate mental health/drug treatment systems.
- Provide training for treatment providers on re-integration of parolees.
- Develop a checklist of questions for treatment providers to use with parolees.

The Statewide Advisory Group discussed the recommendation and with the agreement of Subcommittee members present, agreed to utilize the Dual Diagnosis Task Force with the addition of a CDC Mental Health expert and a representative from Senator Burton's Office in lieu of establishing a new subcommittee. In an earlier discussion, the group agreed that the membership of the Task Force should be expanded to include the courts and public defenders.

4. Dual Jurisdiction

There is a need to make sure that dual jurisdiction issues get addressed, including exploring the use of dual supervision.

The Statewide Advisory Group approved the recommendation to invite a Chief Probation Office representative to the next subcommittee meeting, and approved on-going work in this area by the subcommittee.

The subcommittee also noted the following areas for follow-up:

1. Use of empty, available Substance Abuse Service Coordinating Agency (SASCA) beds for Proposition 36 and tracking the progress of meetings between BPT and CDC regarding the SASCA program.
2. Exploring the development of standardized reporting forms for quarterly treatment reports. This may also be a dual jurisdiction issue.

STRATEGIC ISSUES DEVELOPMENT

Members of the Statewide Advisory Group brainstormed potential strategic issues regarding SACPA. Key areas identified were:

- ❑ Funding
- ❑ Public Education/Perception and Media
- ❑ Client No-show Rate
- ❑ Use of Ancillary Services/Community Based Organizations

ADJOURNMENT

NOTE: THE STATEWIDE ADVISORY GROUP MEETING SCHEDULED FOR FRIDAY, JANUARY 11 HAS BEEN CANCELLED. THE NEXT MEETING OF THE STATEWIDE ADVISORY GROUP WILL BE FRIDAY, FEBRUARY 8, 2002.